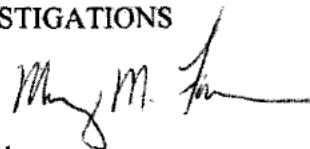




U.S. Immigration
and Customs
Enforcement

November 9, 2005

MEMORANDUM FOR: ALL SPECIAL AGENTS IN CHARGE
OFFICE OF INVESTIGATIONS

FROM: Marcy M. Forman 
Director
Office of Investigations

SUBJECT: Commitment to the Arms and Strategic Technology
Investigations Program

The Department of Homeland Security, U.S. Immigration and Customs Enforcement (ICE) is the only federal law enforcement agency with full statutory authority to investigate and enforce criminal violations of all U.S. export laws related to military items, controlled dual-use goods, and sanctioned or embargoed countries. While ICE has had success for over 25 years as the nation's lead law enforcement agency investigating export control laws, the magnitude and scope of the threats to our homeland have never been greater than today. If ICE is to address the threats facing America, resting upon past accomplishments will not suffice. It is imperative that ICE build a robust ASTI program based upon the foundation already laid while maintaining a forward looking, aggressive approach to export enforcement.

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(b) (7)(E) Accordingly, it is critical that ICE field offices assign a minimum number of field agents dedicated *exclusively* to the investigation of arms, technology and embargo violations.

Based on an initial review of existing personnel resources and potential threats in the field, a tier structure (**Attachment "A"**) has been established. Offices listed within each tier are required to commit *full-time* existing resources, as indicated, to the ASTI program. The required full-time resources will not include agents assigned to JTTFs or any other ICE investigative disciplines. All offices not specifically listed should have a minimum of two special agents trained to conduct ASTI investigations.

ASTI Personnel Commitment

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Each office identified in **Attachment "A"** will complete the attached ASTI Threat Assessment (**Attachment "B"**), which should be employed to both detail the implementation of the specified changes and identify sources of information, potential threats and undeveloped leads in your areas of responsibility. ASAC and RAC offices should forward their threat assessments to their respective SAC offices for transmission to HQ/ASTI. Although the ASAC and RAC documents are being forwarded to their respective SACs for transmission as one package, the ASAC and RAC threat assessments should remain separate documents and not be compiled into the SAC's threat assessment. The threat assessment should be completed and returned to HQ/ASTI Section Chief (b)(6) (b)(7)(C) by COB December 19, 2005. The threat assessment should be completed in accordance with the attached instructions.

Questions regarding this memorandum should be directed to Unit Chief (b)(6) (b)(7)(C) at (202) 616-XXXX.

ATTACHMENT "A"

(b) (7) (E)

(b) (7) (E)

* Full time Group Supervisor and Special Agents assigned *exclusively* to ASTI investigations, with no responsibility for other investigative disciplines.

** Special Agents may be assigned to a multi-discipline group but must work *exclusively* on ASTI investigations.

ATTACHMENT "B"

Instructions for Completion of the ASTI Threat Assessment

Please prepare this document in Times New Roman 12 pt font, following ICE stylistic guidelines for the formatting and preparation of the memoranda.

In addition to a diskette containing your office's assessment, please transmit your document electronically to ASTI Section Chief, Programs, (b) (7)(E) at (b)(6); (b)(7)(c)@dhs.gov. Please title your message "ASTI Threat Assessment - (office)." The diskette should be directed to ICE, ASTI, (b) (7)(E) (b)(6); (b)(7)(c)

The sample format is a guideline for producing your threat assessment. While it is necessary to provide a detailed response for each section in the sample, an office is not limited by the content provided. For instance, if your office is aware of a particular ASTI threat that exists in your area, but that is not included in the sample, that should be addressed in detail on your assessment. If a section does not pertain to your area of responsibility, please provide an explanation as to why it is not applicable.

The process of completing this project is as important as the finished document. (b) (7)(E)

ASTI has been designated as an integral element of ICE's overall national security investigative strategy. Your threat assessment should reflect the requisite commitment to quality necessary to identify the threat in your respective areas of responsibility.

**ARMS AND STRATEGIC TECHNOLOGY INVESTIGATIONS
THREAT ASSESSMENT - FY 2006
(sample format)**

SAC:

ASAC: (If appropriate)

RAC: (If appropriate)

Prepared by:

I. Executive Summary

This section should contain a synopsis of the information reported in the other portions of this assessment and should contain a statement of the SAC's, ASAC's or RAC's conclusions regarding the greatest threat(s) in their area of responsibility (AOR).

II. Personnel/Training

- A. Please identify by name and grade, those personnel assigned to the ASTI program on a full time basis, prior to the headquarters directed reallocation of personnel to ASTI assignments.
- B. Please identify by name and grade, those personnel assigned to the ASTI program after the headquarters directed reallocation of personnel to ASTI assignments (To include supervisors).
- C. Please identify any training needs for those personnel assigned to the ASTI program.

III. Project Shield America

(b) (7) (E)

(b) (7)(E)

IV. Coordination with other ICE Disciplines

(b) (7)(E)

(b) (7)(E)

VII. Military and Intelligence Agency Presence in Your AOR

(b) (7)(E)